

017-05-01

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USExpress Mail #
EL 125950049 US

07/03/01
jc960 U.S. PTO

Practitioner's Docket No. 13429-40254

PATENT

Preliminary Classification:
Proposed Class:
Subclass:

jc821 U.S. PTO
09/898648
07/03/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): James M. Carter
For (title): CARRIER WITH BUILT-IN AMPLIFICATION FOR PERSONAL AUDIO
DEVICE

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

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[X] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,
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37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

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Date:

July 3, 2001

Signature

[Signature]

Dinah Hooke

(type or print name of person certifying)

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09898648-070301

2. **Papers Enclosed**
 - A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application
 - 10 Page(s) of Specification
 - 4 Page(s) of Claims
 - 10 Sheet(s) of Drawing(s)--Formal
 - B. Other Papers Enclosed
 - 3 Page(s) of declaration and power of attorney
 - 1 Page(s) of abstract
 - Information Disclosure Statement
3. **Additional Papers Enclosed**
Information Disclosure Statement (37 C.F.R. 1.98)
4. **Declaration or Oath**
Enclosed and Executed by: inventor.
5. **Inventorship Statement**
The inventorship for all the claims in this application is the same.
6. **Language**
English
7. **Fee Calculation (37 C.F.R. Section 1.16)**
Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c))	15	- 20 =	0 x	\$0.00	\$0.00
Independent Claims (37 CFR 1.16(b))	5	- 3 =	2 x 40.00	\$80.00	\$160.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$0.00	\$0.00
Filing Fee Calculation					\$870.00

8. **Small Entity Statement(s)**
This is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27.
Filing Fee Calculation (50% of above) \$435.00

9. **Fee Payment Being Made at This Time
Enclosed**

Filing Fee

\$ 435.00

Total Fees Enclosed

\$ 435.00

10. **Method of Payment of Fees**

Check in the amount of \$435.00 is attached.

11. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-1754.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.17 (application processing fees)

12. **Instructions as to Overpayment**

Credit Account No. 18-1754.

Date:

7/1/01

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